

SEC Rule 606 – ATM Execution, LLC – 1st Qtr, 2018

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
NYSE	18.87%	3.77%	96.23%	0.00%
BATS Exchange	11.49%	0.00%	44.93%	55.07%
Nasdaq	9.44%	0.02%	99.98%	0.00%
NYSE Arca	8.66%	0.39%	84.11%	15.50%
CDRG	6.69%	0.00%	100.00%	0.00%
BYX Exchange	6.16%	0.00%	97.98%	2.02%
NITE	4.30%	0.00%	91.93%	8.07%
UBSS	3.97%	0.00%	8.99%	91.01%
EDGX Exchange	3.65%	0.00%	93.60%	6.40%
IEX Exchange	3.57%	0.00%	15.13%	84.87%
FBCO	3.35%	0.00%	100.00%	0.00%
EBXL	3.10%	0.00%	33.86%	66.14%
BIDS	2.64%	0.00%	0.00%	100.00%
JPMX	2.23%	0.00%	24.71%	75.29%
MSCO	2.12%	0.00%	100.00%	0.00%
PDQM	1.82%	0.00%	100.00%	0.00%
DBAX	1.62%	0.00%	20.01%	79.99%
EDGA Exchange	1.22%	3.73%	94.51%	1.76%
LATS	1.06%	0.00%	72.24%	27.76%
INCA	0.82%	0.00%	9.69%	90.31%
Nasdaq BX	0.68%	0.00%	61.75%	38.25%
SGMA	0.62%	0.00%	0.00%	100.00%
MLCO	0.43%	0.00%	20.04%	79.96%
CXCX	0.36%	0.00%	0.00%	100.00%
ALTX	0.32%	0.00%	0.00%	100.00%
LQNA	0.31%	0.00%	0.00%	100.00%
PULX	0.25%	0.00%	0.00%	100.00%
SOHO	0.16%	0.00%	100.00%	0.00%
LQNT	0.05%	96.01%	3.99%	0.00%
AQUA	0.02%	0.00%	0.00%	100.00%
JSJX	0.00%	0.00%	100.00%	0.00%
NYSE AMEX	0.00%	0.00%	100.00%	0.00%

Securities Listed on Nasdaq Stock Market

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Nasdaq	21.75%	2.86%	96.82%	0.33%
BATS Exchange	15.40%	0.00%	43.90%	56.10%
NYSE Arca	10.58%	0.00%	79.33%	20.67%
BYX Exchange	6.36%	0.00%	97.96%	2.04%
CDRG	6.07%	0.00%	100.00%	0.00%
NITE	5.42%	0.00%	93.13%	6.87%
UBSS	4.18%	0.00%	8.09%	91.91%
EDGX Exchange	4.12%	0.00%	95.20%	4.80%
IEX Exchange	3.55%	0.00%	14.09%	85.91%
FBCO	3.26%	0.00%	100.00%	0.00%
EBXL	2.68%	0.00%	21.97%	78.03%
PDQM	2.59%	0.00%	100.00%	0.00%
JPMX	2.40%	0.00%	18.05%	81.95%
BIDS	2.31%	0.00%	0.00%	100.00%
MSCO	1.91%	0.00%	100.00%	0.00%
DBAX	1.53%	0.00%	16.87%	83.13%
EDGA Exchange	1.28%	5.00%	92.08%	2.92%
Nasdaq BX	0.77%	0.00%	54.58%	45.42%
LATS	0.75%	0.00%	60.52%	39.48%
INCA	0.75%	0.00%	6.72%	93.28%
SGMA	0.51%	0.00%	0.00%	100.00%
MLCO	0.39%	0.00%	15.60%	84.40%
CXCX	0.31%	0.00%	0.00%	100.00%
LQNA	0.30%	0.00%	0.00%	100.00%
ALTX	0.27%	0.00%	0.00%	100.00%
SOHO	0.26%	0.00%	100.00%	0.00%
PULX	0.24%	0.00%	0.00%	100.00%
LQNT	0.04%	75.70%	24.30%	0.00%
AQUA	0.04%	0.00%	0.00%	100.00%
JSJX	0.00%	0.00%	100.00%	0.00%

Securities Listed on NYSE Amex

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
BATS Exchange	12.47%	0.10%	49.90%	50.01%
NYSE AMEX	11.82%	11.30%	88.70%	0.00%
NYSE Arca	9.81%	0.00%	88.93%	11.07%
Nasdaq	8.48%	0.04%	96.20%	3.76%
BYX Exchange	8.15%	0.00%	99.82%	0.18%
CDRG	7.68%	0.00%	100.00%	0.00%
EBXL	5.58%	0.00%	72.09%	27.91%
EDGX Exchange	5.04%	0.00%	99.96%	0.04%
NITE	5.01%	0.00%	93.49%	6.51%
FBCO	3.56%	0.00%	100.00%	0.00%
UBSS	3.52%	0.00%	10.66%	89.34%
IEX Exchange	3.08%	0.00%	10.60%	89.40%
JPMX	2.30%	0.00%	39.18%	60.82%
LATS	2.01%	0.00%	51.83%	48.17%
MSCO	1.82%	0.00%	100.00%	0.00%
DBAX	1.67%	0.00%	35.30%	64.70%
PDQM	1.48%	0.00%	100.00%	0.00%
INCA	1.29%	0.00%	60.14%	39.86%
LQNA	1.26%	0.00%	0.00%	100.00%
BIDS	0.92%	0.00%	0.00%	100.00%
EDGA Exchange	0.73%	5.94%	94.06%	0.00%
MLCO	0.66%	0.00%	23.30%	76.70%
CXCX	0.51%	0.00%	0.00%	100.00%
SGMA	0.44%	0.00%	0.00%	100.00%
PULX	0.39%	0.00%	0.00%	100.00%
ALTX	0.18%	0.00%	0.00%	100.00%
Nasdaq BX	0.10%	0.00%	100.00%	0.00%
SOHO	0.04%	0.00%	100.00%	0.00%